

# **Audit and Standards Committee**

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**Wednesday 16 November 2016 at 5.00  
pm**

**To be held at the Town Hall, Pinstone  
Street, Sheffield, S1 2HH**

**The Press and Public are Welcome to Attend**

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## **Membership**

Councillors Josie Paszek (Chair), Dianne Hurst, Alan Law, Pat Midgley, Peter Price, Vickie Priestley and Paul Scriven.

## **Independent Co-opted Members**

Liz Stanley.

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## **PUBLIC ACCESS TO THE MEETING**

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The Audit and Standards Committee is a key part of the Council's corporate governance arrangements. The Committee has delegated powers to approve the Council's Statement of Accounts in accordance with the Accounts and Audit Regulations 2003 and consider the Annual Letter from the Auditor in accordance with the Accounts and Audit Regulations 2003 and to monitor the Council's response to individual issues of concern identified.

A copy of the agenda and reports is available on the Council's website at <http://sheffielddemocracy.moderngov.co.uk/mgCommitteeDetails.aspx?ID=512>. You can also see the reports to be discussed at the meeting if you call at the First Point Reception, Town Hall, Pinstone Street entrance. The Reception is open between 9.00 am and 5.00 pm, Monday to Thursday and between 9.00 am and 4.45 pm. on Friday. You may not be allowed to see some reports because they contain confidential information.

Recording is allowed at meetings of the Committee under the direction of the Chair of the meeting. Please see the website or contact Democratic Services for details of the Council's protocol on audio/visual recording and photography at council meetings.

If you require any further information please contact Dave Ross in Democratic Services on 0114 273 5033 or email [dave.ross@sheffield.gov.uk](mailto:dave.ross@sheffield.gov.uk).

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## **FACILITIES**

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There are public toilets available, with wheelchair access, on the ground floor of the Town Hall. Induction loop facilities are available in meeting rooms.

Access for people with mobility difficulties can be obtained through the ramp on the side to the main Town Hall entrance.

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**AUDIT AND STANDARDS COMMITTEE AGENDA  
16 NOVEMBER 2016**

**Order of Business**

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- 1. Welcome and Housekeeping Arrangements**
- 2. Apologies for Absence**
- 3. Exclusion of the Press and Public**  
To identify items where resolutions may be moved to exclude the press and public.  
  
(Note: The report relating to Strategic Risk Management is not available to the public and press because it contains exempt information described in Paragraph 3 of Part 1 of Schedule 12A of the Local Government Act 1972, as amended, relating to the financial or business affairs of any particular person, including the authority holding that information).
- 4. Declarations of Interest** (Pages 1 - 4)  
Members to declare any interests they have in the business to be considered at the meeting.
- 5. Appointment of Deputy Chair**  
To appoint a Deputy Chair of the Committee for 2016/17.
- 6. Minutes of Previous Meeting** (Pages 5 - 12)  
To approve the minutes of the meeting of the Committee held on 15 September 2016.
- 7. Whistleblowing Policy** (Pages 13 - 30)  
Report of the Acting Executive Director, Resources.
- 8. Annual Ombudsman and Complaints Report 2015/16** (Pages 31 - 48)  
Joint report of the Director of Human Resources and the Director of Legal and Governance.
- 9. Recommendation Tracking Process** (Pages 49 - 52)  
Report of the Senior Finance Manager, Internal Audit.
- 10. Annual Audit Letter 2015/16** (Pages 53 - 62)  
Report of the Director KPMG.
- 11. Strategic Risk Management** (Pages 63 - 90)  
Report of the Acting Executive Director, Resources.

(Note: The above report is not available to the public and press because it contains exempt information described in Paragraph 3 of Part 1 of Schedule 12A of the Local Government Act 1972, as amended, relating to the financial or business affairs of any particular person, including the authority holding that information).

- 12. Work Programme** (Pages 91 - 96)  
Report of the Director of Legal and Governance.
- 13. Changes to the Arrangements for the Appointment of External Auditors** (Pages 97 - 104)  
Report of the Head of Strategic Finance.
- 14. Dates of Future Meetings**  
To note that meetings of the Committee will be held at 5.00 p.m. on:-
- 8 December 2016 (additional meeting if required)
  - 12 January 2017
  - 16 February 2017 (additional meeting if required)
  - 9 March 2017 (additional meeting if required)
  - 27 April 2017
  - 13 July 2017